

PENN ENTERTAINMENT

HORSE RACING GUIDE

2023

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HORSE RACING GUIDE

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THIS 2023 HORSE RACING GUIDE SHALL BE SUPPLEMENTED WITH THE RULES AND POLICIES OF THE LOCAL RACING GUIDE FOR HOLLYWOOD GAMING MAHONING VALLEY RACE COURSE.

Penn Entertainment

A LEADER IN RACING

Penn Entertainment (“PENN” or the “Company”) through its subsidiaries owns, operates or has ownership interests in gaming and racing facilities and video gaming terminal operations. As of January 1, 2022, the company operates 44 facilities in 20 jurisdictions, including Colorado, Florida, Illinois, Indiana, Iowa, Kansas, Louisiana, Maine, Maryland, Massachusetts, Michigan, Mississippi, Missouri, Nevada, New Jersey, New Mexico, Ohio, Pennsylvania, Texas, and West Virginia. In aggregate, Penn Entertainment’s facilities feature over 44,000 gaming machines, over 1,300 table games and 7,800 hotel rooms. The Company also offers social online gaming, online casino and sports wagering through its Penn Interactive division under *theScore*, *Hollywood Casino* and *PENN Play Casino* brands and has one of the leading gaming loyalty programs in North America – *PENN Play* – with nearly 24 million members.

The Company’s headquarters are in Wyomissing, Pennsylvania with additional offices in Las Vegas, NV.

Our Tradition of Live Racing

The roots, and name, of Penn Entertainment trace to a racetrack in Grantville, Pennsylvania. Starting in 1972 with Penn National Race Course, the Company has now grown into not only one of the nation’s top gaming companies, but the largest owner of pari-mutuel facilities in North America. The Company conducted nearly 1,200 live racing dates and processed over \$1.2 billion in pari-mutuel handle on its races or at its facilities in 2021.

PENN has full or joint venture ownership in 11 racetracks, including Thoroughbred Racing at Hollywood Gaming at Mahoning Valley Race Course (Austintown, OH), Zia Park Casino, Hotel & Racetrack (Hobbs, NM), Hollywood Casino at Penn National Race Course (Grantville, PA), Hollywood Casino at Charles Town Races (Charles Town, WV), Sam Houston Race Park (Houston, TX), and Retama Park (Selma, TX.) (Retama Park, Sam Houston Race Park and Zia Park also conduct Quarter Horse racing.) Standardbred Racing is conducted at Freehold Raceway (Freehold, NJ); Hollywood Casino, Hotel & Raceway (Bangor, ME), Hollywood Casino at The Meadows (Washington, PA), Hollywood Gaming at Dayton Raceway (Dayton, OH) and Plainridge Park Casino (Plainville, MA.) In addition to live racing, year-round simulcasting from racetracks around the country, and the world, are offered at all of these facilities.

PENN operates or jointly operates five off-track wagering facilities: two in Pennsylvania, two in New Jersey and one in Florida. PENN also operates the national account wagering site *Hollywoodraces.com* which offers legal pari-mutuel wagering via the internet, mobile app and telephone in 24 states.

Major Races & Events

Offering quality race meets and presenting marquee races is the mission for PENN's racing division. PENN's racing profile has increased dramatically in recent years with significant major races on the national calendar, highlighted by the Grade 2, \$1 million Charles Town Classic, the Grade 3, \$7500,000 Charles Town Oaks, the \$400,000 Grade 2 PENN Mile, the \$300,000 Houston Ladies Classic and \$200,000 John Connally Stake, both Grade 3 races (Sam Houston Race Park), \$2.5 million New Mexico Breeder's Classic Championships and \$1.1 million Land of Enchantment Day (Zia Park) and the \$300,000 Steel Valley Sprint (Mahoning Valley.) On the harness side major races include the \$1 million Dayton Derby and Oaks series for older trotters and pacers (Dayton Raceway), the \$250,000 Spirit of Massachusetts Trot and \$100,000 Clara Barton Pace (Plainridge Park) and the \$400,000 Adios Stakes (The Meadows.)

INTRODUCTION TO THE GUIDE

The public reputation and goodwill of Penn Entertainment ("PENN"), as well as each of the race tracks it owns or operates (collectively and individually referred to herein as the "Racetrack"), are valuable assets vital to our success. As members of the pari-mutuel wagering and gaming communities, it is necessary for all of us to adhere to the industry's highest standards of integrity in order to ensure both positive public perception and maintain the licenses and regulatory privileges of PENN and the Racetrack.

This *Horse Racing Guide* (the "Guide") applies to all personnel and individuals associated with the Racetrack, including all persons who hold valid and current racing licenses or who are otherwise permitted on the Racetrack's privately owned property, including, but not limited to, owners, racing officials directly employed by the Racetrack (excluding employees of Racing Commissions or other government regulatory bodies), trainers, trainers' agents, grooms, veterinarians, vendors, pony people, outriders, independent contractors, jockeys, jockeys' agents, drivers, guests, other agents, and anyone else with a racing license or permit (collectively referred to herein as the "Racing Participants").

Racing Participants shall comply with all rules and policies within the Guide. Failure to abide by the rules and policies within the Guide may result in sanctions being imposed on a Racing Participant, including but not limited to loss of stabling and/or racing privileges, ejection, eviction or barring from all premises of the Racetrack. A Racing Participant's violation of the Guide at any one PENN's Racetrack, may be considered by PENN, in its sole discretion, as a violation applicable to all other PENN Racetracks, and such Racing Participant may face sanctions at all PENN Racetracks.

The Guide covers a wide range of actions designed to foster integrity and honesty among all Racing Participants. It does not cover every issue that may arise, but rather sets out basic principles of behavior for all Racing Participants. Dishonest or illegal conduct will constitute a violation regardless of whether the conduct is specifically addressed in the Guide. In order to maintain the privilege to enter and conduct business on the Racetrack grounds, Racing

Participants must be familiar with and comply with the Guide as well as all applicable laws and regulatory requirements.

No guide or code of conduct can replace the thoughtful behavior of someone conducting their business with a high level of integrity. Thus, in addition to the Guide, all Racing Participants are also expected to conform to the racing industry's highest standards of professional and ethical conduct. Any decision to act, or not to act, against a person covered by this Guide is at the sole discretion of the Racetrack and based on the information and circumstances of each individual occurrence.

While we expect to periodically give notice relative to updates to the Guide and other matters, because of the fast-paced and highly regulated nature of our business, the Racetrack reserves the right to alter or amend this Guide and any or all of its rules and policies, at any time, and from time to time, at its or PENN's sole discretion. The Guide is distributed in the public areas accessible to Racing Participants as well as on the websites of every Racetrack. We will make reasonable efforts to communicate any such change; however, it is incumbent upon the Racing Participants to make themselves familiar with the contents of the Guide and to be aware of any changes, updates or modifications to this Guide.

PART 1 – GENERAL

1.1 STANDARDS OF CONDUCT

A. General

All persons covered by the Guide shall avoid conduct that is detrimental to the integrity of, and public confidence in, the pari-mutuel wagering and gaming community. Ethical and responsible conduct serves the interests of the Racetrack, the Racing Participants, and the racing industry as a whole. Illegal or irresponsible conduct does more than simply tarnish the offender. It can put innocent persons at risk, damage the reputation of others, and undermine the public's respect and support for the racing industry.

In the normal course of our business, the Racetrack may require Racing Participants to complete and sign various forms, and provide other information as required or requested, prior to being granted access to or continuing with racing privileges at the Racetrack. Racing Participants are expected to fully cooperate at all times in these processes.

B. Dishonest, Offensive or Illegal Conduct

While criminal activity is clearly outside the scope of permissible conduct, and persons who engage in criminal activity will be subject to the appropriate legal actions, the standards of behavior for Racing Participants are considerably higher than merely avoiding conviction of a crime. Instead, persons must conduct themselves in a way that is not only "lawful," but that also

promotes a high degree of integrity within the industry. Persons who fail to live up to this standard are subject to sanctions by the Racetrack.

By way of example only, and not intended as an exclusive list, the following activities are prohibited (whether within or outside of the Racetrack grounds):

- Criminal offenses of any kind;
- Violent or threatening behavior;
- Conduct that creates a negative public perception of PENN or the Racetrack;
- Conduct that undermines or puts at risk the integrity and reputation of the pari-mutuel wagering and gaming industry in general;
- Violation of the Racetrack’s safety policies or rules;
- Failure to comply with the lawful directions of authorized Racetrack representatives; and
- Misrepresentations in any application or form or other disclosure statements made to the Racetrack or to any government regulatory body.

C. Racing Misconduct

Any person(s) involved in entering or scratching horses that Racetrack reasonably believes is not in good faith will be in violation of the Guide. Examples of this conduct include, but are not limited to:

- Entering a race without the intention of racing;
- Entering a horse into a race or causing a horse to be entered into a race for the benefit of another;
- Frequent scratching of horses entered to race; and
- Knowingly receiving a horse through a transfer, or acting as a “program trainer,” from/or for individuals not permitted on Racetrack’s property or not properly licensed by the appropriate regulatory body.
 - i. In such cases, a trainer or other individual associated with horse may be required to provide written documentation evidencing such individual is the actual trainer, or that a legitimate transfer has taken place among the former trainer or owner, wholly separated from any matters involving such horse. Documentation that may be required includes, but is not limited to, bank records, checks, receipts or signed affidavits. This requirement is over and above any requirements that may be placed on an individual by a regulatory

body and the legitimacy of the transfer in question will be determined in the Racetrack's sole discretion. The Racetrack is under no obligation to approve any trainer transfer.

- ii. Unless Racetrack receives documentation and evidence to its satisfaction that such a complete separation between current and former trainer exists, Racetrack reserves the right to restrict the entering of horses, or entry of horses to the grounds of Racetrack that (1) made their most recent start within 180 days; and/or (2) made their most recent start in the name of a Racing Participant who would not be permitted to participate in racing at Racetrack, whether due to action taken by Racetrack, a violation of this Guide, or based on violation of rules and regulations in the applicable jurisdiction.
- iii. In the event an individual is serving a suspension from a recognized jurisdiction, Racetrack reserves the right to restrict entries from individuals who are closely associated or related to the suspended individual or such individuals who worked directly for the suspended individual unless Racetrack has determined otherwise.

D. Medications and Related Issues

In the event of a positive test received in a recognized jurisdiction by a Racing Participant, or a horse under the care of a Racing Participant, Racetrack reserves the right to impose sanctions as contained herein.

A "positive test" is defined herein as the presence of a prohibited medication, or a medication in a horse in excess of allowable amounts as stated in the applicable rules and regulations of a recognized jurisdiction or any federal authority, the subsequent confirmation of such an excess by a split sample (if conducted) and the documented verification of such an excess by a recognized jurisdiction's horse racing regulatory authority or any federal authority; *provided however that* when a split sample is not requested, the original test indicating the presence of medication in excess of allowable amounts as stated in the applicable rules and regulations of a recognized jurisdiction or federal authority shall constitute a "positive test."

Racetrack understands that positive tests occur with medications that are classified as to their pharmacological impact and its impact on the ability to affect the performance of a horse or the outcome of a race. However, an owner or trainer whose horse in such trainer's care and custody receives a positive test for a Class 1 or Class 2 medication or a Category A penalty (as determined by the most edition of the "*Uniform Classification Guidelines for Foreign Substances and Recommend Penalties and Model Rule*" as amended from time to time by Association of Racing Commissioners International, "ARCI") or under any guidelines as issued by a federal authority, or tests positive or is in possession of medication not permitted by the Food and Drug Administration (FDA) or tests positive or is in possession of a controlled substance within a recognized by jurisdiction (collectively referred to as "Medication Violations") may be sanctioned by the Racetrack, with such sanctions including revocation of

racing and/or stabling privileges at Racetrack and other PENN Racetracks, upon receipt of reasonable information acknowledging a positive test(s) or possession of such substances.

The Racetrack reserves the right to restrict entry in any race at any PENN Racetrack to a horse that has received a Medication Violation and such horse(s) may be required to vacate the grounds of the Racetrack. All horses in the care of a trainer receiving a Medication Violation, may also be requested to vacate the Racetrack grounds for a period of time as determined by the Racetrack. An Owner whose horse(s) receives a Medication Violation with two (2) or more different trainers in any recognized jurisdiction may be sanctioned by the Racetrack, which may include revocation of racing privileges at Racetrack and PENN Racetracks. For purposes of this provision, any individual or corporation will be deemed an owner of a horse if their percentage of ownership requires them to be licensed in the jurisdiction where the positive test occurred.

A trainer, an individual horse(s) and/or the owner of an individual horse(s) that receive multiple positive tests, without regard for the classification of the medication, may be sanctioned by the Racetrack. In making such a determination, the Racetrack may consider the frequency and time span encompassing the period of reported positive tests; the classification of the medication(s) for the positive tests; the nature and severity of the medication involved in such positive tests; extenuating circumstances regarding the positive tests, and; any other previous conduct by the trainer and/or owner that Racetrack deems relevant in making such a determination regarding such positive tests. Penalties issued by any regulatory or federal agency shall not bind Racetrack to taking any actions regarding the participation of a horse, trainer or owner at a PENN Racetrack.

Any adjudication process undertaken by a trainer, owner or individual associated with a horse receiving a positive test(s) shall not be a determining factor upon the Racetrack with regard to any independent action or sanctions imposed by the Racetrack.

With the exception of licensed veterinarians permitted to practice on Racetrack grounds, the possession of hypodermic needles, syringes and medications contrary to any applicable regulation is prohibited and may result in sanctions by the Racetrack.

Racetrack may take any action under this section for any violation of Prohibited Practices Section of ARCI's "*Uniform Classification Guidelines for Foreign Substances and Recommend Penalties and Model Rule*" or any classifications issued by a federal authority as amended from time to time. Such Prohibited Practices include, but not limited to at this time, possession or use of Cobalt, Erythropoietin (EPO), Darbepoietin, Oxyglobin, Hemopure, and Demorphin or any other drug, substance or medication not approved by the United States Food and Drug Administration (FDA) for use in the United States or controlled medications or substances not approved within recognized racing jurisdictions.

E. Illegal Drugs/Alcohol

The use, distribution or possession of illegal drugs is not permitted at any time on grounds of the Racetrack. For the purposes of this rule, an illegal drug is any drug which is not legally obtainable, or one which is legally obtainable but has not been legally obtained, including

prescription drugs not legally obtained and prescription drugs that were prescribed for someone else. Alcohol is not permitted within any Racetrack backstretch area, or in any barns or dormitories located on Racetrack property.

F. No Solicitation Policy

There is a no solicitation policy at the Racetrack, which includes, but is not limited to, circulation of petitions, political flyers, or distribution of literature not approved in advance in writing by the Racetrack. Signs identifying stables and trainers and vendors must be approved and in writing by the Racing Secretary's Office or appropriate Racetrack Manager.

G. Mortality Reviews

In the event a horse suffers a catastrophic injury or sudden death either during the course of a live race, during training hours or otherwise while on the grounds of the Racetrack, Racetrack may require the trainer, and/or any other individual associated with the training, racing, care or custody of such horse to participate in a mortality review meeting with Racetrack. Such review meetings shall be scheduled as soon as practical after such incidents occur and such reviews may be deemed mandatory under rules of a federal authority.

Furthermore, it is the responsibility of the trainer, or veterinarian for trainer, to report the death of any horse under their care or custody, regardless of reasons or circumstances, within eight (8) hours to the proper Racetrack racing official. No horse may be removed from the Racetrack grounds without such notification and authorization for removal by Racetrack. Racetrack reserves the right to require, at cost of trainer/owner of such horses, a necropsy, examination and removal of any horse that is euthanized or dies, for any reason, on the grounds of Racetrack.

Failure of any individual to participate in, and fully cooperate with the abovementioned reviews and notifications may lead to revocation of all stabling and racing privileges at the Racetrack and other PENN Racetracks.

H. Animal Welfare

The health and safety of the equine participants at all PENN racing properties is of paramount importance and all Racing Participants, especially those who are entrusted with the ownership and the ongoing care of these animals, must take all reasonable actions and care to ensure such equine participants are treated with dignity and respect.

Any Racing Participant covered by this Horsemen's Guide who handles or treats any animal without regard for the well-being of the animal or causes physical injury or pain or suffering to the animal, including excessive or unnecessary training/whipping, transporting, or neglect in the care or feeding or medical attention shown to the animal, as determined in the sole discretion of Racetrack, may be sanctioned. Except as required by statute or regulation, it is the sole responsibility of the trainer to ensure that a licensed and competent veterinarian is available at all times to ensure the health and welfare of such trainer's horses and to attend to trainer's horses at all times such horses are on the grounds of Racetrack in any and all emergency situations in

which a regulatory or Racetrack veterinarian is not required to be present or to respond to a trainer's horse(s).

In the event Racetrack receives credible and verifiable information regarding a Racing Participant who knowingly, or without conducting proper due diligence, buys or sells a horse for slaughter, directly or indirectly, such individual(s) may be sanctioned by the Racetrack up to and including revocation of stalls and exclusion from racing at all PENN properties. The Racetrack highly encourages Racing Participants to obtain proper written documentation on sale or transfer of any horse that they previously raced or was stabled on the grounds of Racetrack and lack of such documentation shall be considered a failure in due diligence efforts. The Racetrack reserves the right to require trainer or individuals responsible for a horse to provide such documentation as requested and failure to cooperate may result in sanctions by the Racetrack, up to and including revocations of stalls and exclusion from racing at all PENN properties.

In the event Racetrack receives credible and verifiable information regarding a Racing Participant who knowingly, or without conducting proper due diligence, ships, assists in the shipping of or participates in the sale or transfer of a horse that is transported by cargo ship, such individual(s) may be sanctioned by the Racetrack up to and including revocation of stalls and exclusion from racing at all PENN properties. The Racetrack reserves the right to require trainer, owner, or any individuals responsible for a horse to provide documentation proving the shipping method being used for a horse under their care or ownership and failure to cooperate may result in sanctions by the Racetrack, up to and including revocations of stalls and exclusion from racing at all PENN properties.

I. Treatment of Racetrack Staff/Non-Disparagement

All persons covered by the Guide are required to afford the Racetrack's staff the highest level of professional courtesy and treatment. Racing Participants agree to fully cooperate in providing information pursuant to any investigation by Racetrack or its agents.

Any verbal or physical mistreatment or inappropriate or disrespectful conduct toward the Racetrack's staff will be a violation of required standards.

It shall be a violation of standards for any person covered by the Guide to disparage PENN or the Racetrack, or any of their affiliates, employees, staff or personnel, in any manner by any type of medium. This includes, but is not limited to, disparagement by use of the internet, e-mail, text messaging and via any social media such as blogs, Facebook, Twitter, etc.

J. Past Conduct and/or Sanctions

Past conduct and/or sanctions having occurred at other PENN Racetracks, in other recognized jurisdictions or at other facilities may be considered when evaluating the fitness of an individual covered by the Guide to conduct business on any PENN Racetrack property. Such evaluations and decisions will be at the sole discretion of PENN and the Racetrack.

1.2 REPORTING GUIDELINES AND WHISTLEBLOWER PROTECTION

Individuals may, in good faith, report violations or suspected violations of the Guide via written submission made in a timely manner to the PENN Corporate Vice President of Racing (c/o PENN's corporate office at 825 Berkshire Blvd., Wyomissing, PA 19610) or the Racetrack's Director of Racing. There will be no retaliation of any kind against any person for good faith reporting of a suspected violation. Any person who feels that they have been retaliated against shall report it as set forth above. Any person who retaliates against someone who in good faith has reported a suspected violation is subject to sanctions by the Racetrack.

1.3 ACTING IN GOOD FAITH

Anyone filing a report or complaint concerning a violation or suspected violation of the Guide must act in good faith and have reasonable grounds for believing the information disclosed indicates a violation. Any allegations that prove not to be substantiated and which prove to have been made maliciously or were known to be false when made will be viewed as a serious violation of the principles contained in this Guide.

1.4 RACETRACK AS SOLE ARBITER

As property owner responsible for the integrity of racing at its locations the Racetrack is the sole and ultimate arbiter in interpreting and enforcing provisions of this Guide and the Local Racing Guide of the respective Racetrack.

1.5 RACETRACK AS A PRIVATE ACTOR

While Racetrack may consider violations of racing rules in recognized jurisdictions and/or results of medication testing performed by a recognized jurisdiction or federal authority when exercising its rights against individuals violating provisions of this Guide, Racetrack is a wholly separate and private entity from any state agency or government regulatory body and Racetrack at all times acts independently from any such agencies or regulatory bodies with respect to all persons covered by the Guide.

As Racetrack is duty bound to uphold the law, no efforts by Racetrack to do so shall be construed as Racetrack operating as an instrument of the state.

1.6 INSURANCE

All persons holding a racing license or permit as a trainer may be required to have workmen's compensation insurance as required by the Racetrack and/or recognized jurisdictional authority or Racing Commission. Trainers shall, upon request by Racetrack or recognized Racing Commission or jurisdictional authority, provide a workmen's compensation certificate and any other documentation Racetrack, Racing Commission or jurisdictional authority may reasonably request evidencing that the foregoing insurance is in effect.

Racetracks may require trainers on behalf of themselves, and their agents and employees, as well as any other vendors, guests or independent contractors working or entering the grounds of the Racetrack, to maintain comprehensive general liability insurance in a minimum amount proscribed in the Local Racing Guide or required by the Racetrack, which is intended to indemnify the Racetrack, and its directors, officers, employees, landlords and agents from any and all liability arising from a trainer's actions. If such general liability insurance is mandated in the Local Racing Guide, the individual, upon request by Racetrack, shall provide a certificate of insurance and any other documentation Racetrack may reasonably request evidencing that the foregoing insurance is in effect.

Any vehicle operated on the Racetrack grounds or in the Racetrack stable area may be required to be properly registered with Racetrack and proof of proper insurance may be required upon request. No person shall operate any vehicle on the grounds of Racetrack without a valid driver's license.

Racetrack reserves the right, at any time, to require Racing Participants to show proof of any appropriate insurance coverage as it deems necessary.

1.7 SURVEILLANCE/INSPECTION

The racing industry is highly regulated and requires significant oversight including security-related measures. As a result, surveillance and security inspection measures are in use within the Racetrack premises. All individuals entering the grounds at the Racetrack consent to all surveillance and/or inspection measures employed by the Racetrack for both individuals and horses.

The Racetrack may require horses to be placed in a pre-race surveillance program and/or specified secured areas prior to the post time of the race in which they are entered under terms, conditions, policies and procedures prescribed from time to time by the Racetrack.

Racetrack reserves the right to inspect any vehicle entering, exiting or parked on the grounds of the Racetrack as well as any piece of equipment brought onto the grounds of Racetrack by any individual. Racetrack shall have right to inspect any area of barns/stalls allocated to trainers by the Racetrack, including any storage areas. Failure to allow inspections under this section may result in sanctions by the Racetrack.

A trainer and his/her veterinarian are required to ensure that there will be no treatment or administration given to a horse (other than for a documented emergency situation), or medications administered to a horse, on race day, other than the administration of approved race day medication(s) in the respective jurisdiction of Racetrack. Racetrack reserves the right to impose additional requirements for horses scheduled to race, including but not limited to restricting access to horse's stall on race day for purposes other than feeding, general grooming and administration of permissible race day medication(s) of such horse as well as emergency veterinary care.

Responsibility and costs for any horse in any surveillance program will remain solely with the horse's trainer. In no event will Racetrack assume responsibility or liability for such horse(s).

1.8 ASSUMPTION OF RISKS

All persons covered by this Guide acknowledge horses, horse riding and racing, horse training and practicing, caring for horses and all other equestrian related activities can be extremely dangerous and routinely involve risk of serious injury, death and/or property damage.

By participating in our industry and choosing to enter the Racetrack premises and participate in Racetrack events voluntarily, Racing Participant has and does hereby assume all of the above risks, and releases Racetrack, on the Racing Participant's behalf and on behalf of all their family members, and will hold Racetrack harmless from any and all liability, actions, causes of actions, debts, claims and demands of every kind and nature whatsoever which Racing Participant now has or which may arise out of or in connection with his/her participation in those activities and risks.

PART 2 – RESULT OF GUIDE VIOLATIONS

2.1 IMPOSITION OF SANCTIONS

A violation of any of the rules and policies set forth in this Guide and/or Racetrack's Local Guide may lead to the imposition of sanctions against Racing Participants. Subject to applicable regulatory requirements, sanctions shall be administered in the sole discretion of the Racetrack, including but not limited to:

- i. temporary suspension or permanent loss of privileges, including loss of stabling and/or racing privileges at Racetrack and any other PENN Racetrack;
- ii. loss or refund of any or all nomination, declaration and entry fees;
- iii. being barred from all Racetrack and PENN's Racetrack premises;
- iv. being barred from any PENN property or facility;
- v. any other remedy available by law.

Any sanction which involves a Racing Participant's privileges being suspended or revoked, or a Racing Participant being barred from Racetrack premises, may involve such loss, suspension or barring from any or all of the PENN Racetracks, as determined in the sole discretion of PENN

and or Racetrack. Subject to Section 2.2 below, the sanctions as set forth by Racetrack for any violation of the rules and policies are final.

2.2 OPPORTUNITY FOR RECONSIDERATION

In cases where Racetrack has barred a Racing Participant from the premises for a period of more than two (2) years, such person subject to such action may seek review of the decision by written request directed to the PENN Corporate Vice President of Racing or the Director of Racing at Racetrack which originally issued the ejection and setting forth, in detail, the grounds for the request for reconsideration.

Any review shall not be considered until a minimum of one (1) year has elapsed since the last review of such ejection. Racetrack is under no obligation to grant a review or reconsider a prior ejection.



MAHONING VALLEY RACE COURSE

**LOCAL SUPPLEMENT TO THE
PENN ENTERTAINMENT HORSE RACING GUIDE**

2023-2024

This Local Horse Racing Guide (“Local Guide”) is the Youngstown Real Estate Ventures, LLC (“Mahoning Valley”) local supplement to the Penn Entertainment (“PENN”) Horse Racing Guide (“Guide.”)

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Contact Information

Mailing Address:..... 655 North Canfield Niles Road
Youngstown, OH 44515

Main Phone:..... 330-505-8700
877-788-3777

Web Site:..... www.hollywoodmahoningvalley.com

2023 Racing Dates:..... October 21 – December 28

2024 Racing Dates:..... January 1 – April 13

Post Times:..... Oct 2023, March – April 2024 – 12:55 PM
Nov – Dec 2023, Jan – Feb 2024 – 12:35 PM
Saturday – 12:00 PM
November 20, 2023 – 12:00 PM

Racing Office Number:..... 330-505-8813

Stewards Office:..... 330-505-8810

Stable Gate:..... 330-505-8808

Horsemen’s Bookkeeper:..... 330-505-8807

OSRC License Office:..... 330-505-8811

Clerk of Scales:..... 330-505-8812

Horse ID:..... 330-505-8816

Clocker:..... 330-505-8859

Track Vet:..... 330-505-8802

Track Photographer:..... 330-505-8831

Dorm Security:..... 330-505-8855

Ohio HBPA:..... 330-505-8819

Ohio State Racing Commission

The Honorable Mike DeWine Governor
Scott Borgemenke Chairman
Beth Hansen Member
Gregory Simpson Member
Daniel McCarthy Member
Thomas Winters Member
Chris Dragone Executive Director

Mailing Address: 77 South High Street
18th Floor
Columbus OH 43215

Phone: (614) 466-2757
Fax: (614) 466-1900

Mahoning Valley Race Course Racing Officials & Personnel

Robert Swedinovich Vice President-General Manager
Elizabeth Rogers Director of Racing
Edward Vomacka Racing Secretary
Tim Richardson Assistant Racing Secretary
Ramon Luna Racing Official
Lori Wydick Racing Official
Jane Magrell Racing Official
Charles Kieser Racing Official/Clocker
Hilary McKanas Racing Official/Horse Identifier
Tina Radosevich Racing Official/Clerk of Scales
Rick Walker Racing Official/Paddock Judge
Robert McGaffic Head Starter
Alan Hinten Track Superintendent
Ceanna Jones Horsemen's Bookkeeper
Chad Davis Director of Security
Donald Simmonds State Steward
Lori Dinoto Association Steward
Vincent Clark Association Steward
Dr. Stephanie Taylor Association Veterinarian
Dr. Dorrie Wallace Association Veterinarian

Horsemen's Groups & Associations

Ohio HBPA

3860 Broadway
Grove City, OH 43123
Phone: (800) 321-8367
Fax: (614) 875-0786
Email: Ohio-hbpa@rrohio.com

Ohio Thoroughbred Owners & Breeders

P.O. Box 305
Jeromesville, OH 44840
Phone: (330) 356-8350

Local Ohio HBPA Office:

Located inside Mahoning Valley Dormitory
Paula Langley
Phone: 330-505-8819

Area Lodging

Hotel.....	Phone Number
Best Western – Meander Inn	(330) 544-2378
Candlewood Suites	(877) 226-3539
Comfort Inn.....	(330) 792-9740
Fairfield Inn & Suites	(330) 505-2173
Hampton Inn	(330) 544-0660
Holiday Inn Express & Suites.....	(888) 465-4329
Holiday Inn	(888) 465-4329
Residence Inn Marriott	(330) 505-3655

Track, Stabling & Training Information

Track Surface

One (1) mile dirt oval, 2 chutes - 6 Furlongs and 1 ¼ miles

Width of stretch: 80 feet

Width of backstretch: 80 feet

Length of Stretch: 1,000 feet

Number of Stalls: 988 plus 54 ship-in stalls

Training and Gate Schooling Hours*

	Training Hours	Gate Schooling Hours
Sunday	7:00am – 10:30am	Closed
Monday	7:00am – 10:30am	9:00am – 10:30am
Tuesday	7:00am – 10:30am	9:00am – 10:30am
Wednesday	7:00am – 10:30am	9:00am – 10:30am
Thursday	7:00am – 10:30am	9:00am – 10:30am
Friday	Closed	Closed
Saturday (Dark)	7:00am – 10:30am	9:00am – 10:30am
Saturday (Live)	7:00am – 10:00am, No Break	9:00am – 10:00am

Renovation Break: 8:30am – 9am

*Training, Starting Gate, and Renovation hours are subject to change due to weather and track condition.

Adjusted Training Hours

	Training Hours	Gate Schooling Hours
Mon, Nov 20	7:00am – 10:00am, No Break	9:00am – 10:00am
Thurs, Nov 23	Closed	Closed
Fri, Nov 24	7:00am – 10:30am	Closed
Fri, Dec 22	7:00am – 10:30am	9:00am – 10:30am
Mon, Dec 25	Closed	Closed
Fri, March 29	7:00am – 10:30am	9:00am – 10:30am
Sun, March 31	Closed	Closed

Eligibility Rule

Entries will not be accepted, stalls will not be allocated, and training on the grounds will not be permitted to:

1. Any horse which has not finished 1st, 2nd, 3rd or 4th in the last eight (8) starts since finishing 5th or worse for \$5000 or less.
2. MAIDENS Seven Years Old and Up. Six Years Old may not exclude a younger horse.
3. Horses Thirteen Years Old and Up.
4. Pregnant Mares greater than 150 days gestation. Pregnant mares must have on file with the Association Veterinarian a letter signed by the Attending Veterinarian listing date of cover, covering stallion, and approving the mare as racing sound.

PART 1 - PERSONS ENTERING THE GROUNDS

- 1.1 All Racing Participants in the stable area must have a valid Ohio State Racing Commission (OSRC) License in their possession at all times. Horsemen must present OSRC license upon entering the barn area and when requested to do so by Mahoning Valley Security or officials.
- 1.2 All Covered Persons as described under 15 USC 3051(6) must be registered with the Horseracing Integrity and Safety Authority (HISA).
- 1.3 **ALL STABLE AREA TRAFFIC MUST ENTER AND EXIT OFF OF 76 DRIVE.**
- 1.4 All people must enter and depart Mahoning Valley stable area through the designated security access point. Any person found in violation of this will be referred to Stewards for appropriate disciplinary action and may be denied future access by Mahoning Valley.
- 1.5 Hollywood Gaming Mahoning Valley Race Course takes seriously the health and safety of minors and will observe the following minors policy in regard to minors in the barn area, racing apron, and lower level grandstand. Areas of the property and individuals not expressly covered in this policy will default to the Minors Policy approved by the Ohio Lottery Commission, Ohio Casino Control Commission, and the Ohio State Racing Commission.
 - 1.5.1 The term “Minors” shall be defined as an individual under the age of 18.
 - 1.5.2 Minors must be supervised at all times by their parent/guardian while on the premises unless holding a valid Ohio State Racing Commission License. Minors being left in a vehicle or tack room does not constitute supervision.
 - 1.5.3 Minors may only be on property between the hours of 5am and 8pm.
 - 1.5.4 Minors are not permitted in the dorm building at any time with the exception of the outdoor access restrooms.
 - 1.5.5 Minors are permitted in the racing office lobby but will not be permitted in any other area of the Jockey Admin Building.
 - 1.5.6 Minors will not be permitted in the paddock at any time per 11.4 of the Local Guide.
 - 1.5.7 Minors will be permitted in the winner’s circle for winner’s circle photos and trophy presentations only.
 - 1.5.8 Minors are permitted on the racing apron during training and racing hours only.
 - 1.5.9 Minors are permitted in the lower level grandstand during racing hours only.

- 1.6 Violations of the minors policy outlined in 1.5 of the Local Guide will result in repercussions leading up to and including the ejection and banning from Hollywood Gaming Mahoning Valley Race Course property.
- 1.7 Any members of the media must have prior permission from racetrack management prior to entering grounds.

PART 2 - HORSES ENTERING/EXITING THE GROUNDS

- 2.1 All horses, including ponies, entering the grounds of Mahoning Valley must provide the following:
 - 2.1.1 Negative Coggins Test dated within the past twelve months. Coggins held on file in the racing office will not afford a horse gate access unless Racing Office is open and can confirm the date.
 - 2.1.2 Health Certificate dated within the past 5 days. Health Certificates must accompany the horse.
 - 2.1.3 Proof of an Influenza and Rhinopneumonitis (Equine Herpes) vaccine within the last 10 to 180 days.
 - 2.1.4 Proof of an Eastern Equine Encephalitis (EEE), Western Equine Encephalitis (WEE), West Nile Virus (WNV), Rabies, and Tetanus vaccine within the previous 12 months.
- 2.2 All “Work & Go” horses must check in at the security gate and then report to the Receiving Barn prior to training and must leave Mahoning Valley grounds by no later than noon. All “Work & Go” horses must be approved in advance by Racing Secretary or Stall Superintendent, there is a limit of four (4) “Work & Go” horses per trainer at a time stabled in the Receiving Barn.
- 2.3 All “Race & Go” horses must check in at the security gate and will be given their stall assignment for the Receiving Barn. Horsemen should use their best efforts to ensure all horses are off the grounds one (1) hour after the last race is complete, unless written permission is received from the Racing Secretary or Stall Superintendent. Should an overnight stay become necessary you will need permission from the Racing Secretary or the Stall Superintendent. Owners and/or trainers who do not have their horses off the grounds in a timely fashion will be referred to track stewards for appropriate disciplinary action. Horses who are competing on Lasix must be on property no later than four (4) hours to post for their race and be administered Lasix on the grounds.
- 2.4 No extra horses shall be brought in with “Work & Go” or “Race & Go” horses without permission of Racing Secretary or Stall Superintendent.
- 2.5 No horse may be started in any race unless it is registered and named with the Registry Office of the Jockey Club and registered with HISA. In the event the name of any horse

is changed and such change is approved by the Registry Office, both old and new names shall be carried in the official program until three starts have been made.

- 2.6 No horse shall be permitted to start at Mahoning Valley unless the Jockey Club Foal Certificate or Digital Foal Certificate is on file with the Racing Office.
- 2.7 No horse shall be permitted to start at Mahoning Valley unless the Jockey Club Registration Certificate number has been officially tattooed beneath the upper lip of the horse or the horse has been microchipped for identification purposes and digitally certified by the TRPB. Any horse that was not tattooed prior to January 2020 must be microchipped.
- 2.8 Goats used to assist a horse in the stall must provide a Health Certificate when entering the barn area and are not allowed to roam freely in the shed row or stable area. Goats leaving and subsequently returning to the barn area must present a new Health Certificate. Written permission to allow a goat on Mahoning Valley property must be received by the Racing Secretary or Stall Superintendent.
- 2.9 Miniature horses used to assist a horse in the stall must follow the same Coggins, Health Certificate, and Vaccination requirements of other horses entering and exiting the barn area and are not allowed to roam freely in the shed row or stable area. Written permission to allow a miniature horse on Mahoning Valley property must be received by the Racing Secretary or Stall Superintendent.
- 2.10 Cats are permitted in the barn area and must be up to date on all vaccinations. Trainers must provide proof of current vaccination if requested by Mahoning Valley Security or officials.
- 2.11 Unless written permission is received by racetrack management, Mahoning Valley will not permit in the barn area any animal not expressly listed in this guide as permitted. This includes but is not limited to Dogs, Chickens, or Rabbits.
- 2.12 The following policies and procedures apply to unnamed horses and horses without tattoos or microchips entering the Mahoning Valley grounds:
 - 2.12.1 Two-year-olds are not allowed on the grounds prior to March 15th of their two-year-old year.
 - 2.12.2 Unnamed or not tattooed/microchipped horses attempting to enter Mahoning Valley grounds are subject to the following restrictions:
 - 2.12.2.1 The name of the dam and the year the horse was foaled MUST be on the in-slip;
 - 2.12.3 The Racing Office will track unnamed/untattooed/unmicrochipped horses entering Mahoning Valley grounds. The Racing Office may conduct random horse inspections to verify horses' identities. Anyone found putting false information on in-slips or any other documentation will be subject to disciplinary

action, including a full revocation of stalls or an association ejection should the conduct warrant it.

- 2.13 Individuals shipping horses onto the grounds must report name of horse(s) and origin to stable gate prior to being permitted on the grounds.
- 2.14 Individuals shipping horses off the grounds must report name of horse(s), destination, reason for departure, name of the transporter, and vehicle license plate to the stable gate prior to exiting grounds.
- 2.15 Notification of deceased horses in the stable area must be in compliance with Penn Entertainment Racing Guide Section 1.2(G.)

PART 3 - VEHICLES

- 3.1 Any vehicle operated on the grounds or in the stable area must be properly registered and insured. No person shall operate any vehicle without a valid driver's license. Mahoning Valley shall have the right to request documentation and proof of vehicle registration and/or driver insurance at any time and to maintain files of such information.
- 3.2 Motorcycles, campers, house trailers, mobile homes and ATV's shall not be permitted in the stable area at any time. Electric Golf Carts will be permitted but must comply with all vehicle rules in this section.
- 3.3 No vehicles of any type will be driven on any horse path except by racetrack maintenance and veterinarians with a valid OSRC License. Vehicles may only be driven in specified areas as designated by Mahoning Valley.
- 3.4 Vehicles driven in the stable area shall not exceed 15 miles per hour and must stop at all horse crossing intersections and obey all traffic signs. No vehicle may be operated in a reckless manner at any time.
- 3.5 **Any parking violation on property such as: parking in reserved spaces, improperly parking outside parking spaces, blocking roads, loading areas, or fire lanes, or abandoning your vehicle on property will result in the removal of your vehicle without prior notification or warning at the owner's expense. All towing will be performed by Carl's Towing, 6704 Mahoning Ave., Youngstown, OH. 44515. Phone 330-799-4552. Vehicles can be claimed 24 hours a day 7 days a week upon proof of ownership. Unclaimed vehicles will result in loss of title.**
- 3.6 At all times, horse trailers must have valid license plates attached to them and must be parked in designated areas. Trailers may be unhooked in those areas only. Trailers must remain hooked in all other areas. If a trailer is to be parked in stable area for more than seven (7) consecutive days, such trailer(s) must be registered with Security and approved in advance by the Racing Secretary. Trailers may not obstruct any roadway. Trailers may only be parked by a barn to be unloaded, may not obstruct any roadway, and must be moved within two (2) hours.

- 3.7 Any individual found to be in violation of any of these vehicle rules shall be subject to disciplinary action, including suspension of driving privileges in stable area.
- 3.8 Security reserves the right to tow any vehicles not in compliance with these rules at the owner's expense.
- 3.9 Persons operating horse vans at Mahoning Valley are required to file a bill of lading for horses brought to the track with Security. Failure to comply with this regulation may result in access to the grounds being denied.

PART 4 - PROHIBITED ITEMS

- 4.1 No propane/gas or charcoal grills permitted in the stable areas.
- 4.2 Heating or cooking devices of any kind are not permitted in the barns, stalls, tack rooms, feed rooms, shed rows or dormitory rooms other than those supplied or approved by Mahoning Valley. Heating and cooking devices include but are not limited to heat lamps, immersion heaters, microwaves, toaster ovens. No flammable liquids or materials are to be stored in barns, shed rows, tack rooms or dormitory rooms. Violation of this section may result in loss of stalls and ejection from Mahoning Valley grounds.
- 4.3 No wood shavings and/or sawdust will be permitted for use or storage on Mahoning Valley property.
- 4.4 Dogs will not be permitted in the stable areas at any time.
- 4.5 No firearms, explosive materials, fireworks, ammunition, and/or any other type of weapons are permitted in the stable area at any time.
- 4.6 Signs or displays that advertise goods or services are strictly prohibited and considered to be violations of Mahoning Valley/PENN no-solicitation policy.
- 4.7 Alcohol is not permitted in the barn area.
- 4.8 Candles are not permitted in the barn area or dormitory.
- 4.9 Devices that produce an open flame are not permitted in the barns, stalls, tack rooms, feed rooms, shed rows or dormitory.

PART 5 - PROHIBITED ACTIVITIES

- 5.1 The use, distribution or possession of illegal drugs is not permitted at any time on grounds of Mahoning Valley. For the purposes of this rule, an illegal drug is any drug which is not legally obtainable or one which is legally obtainable but has not been legally obtained, including prescription drugs not legally obtained and prescription drugs that were prescribed for someone else.

- 5.2 The use of the tack rooms is limited to storing equipment for horses and/or simple office use only. Human habitation in the stables and/or tack rooms is strictly prohibited. Violators will be ejected from Mahoning Valley.
- 5.3 Any excessive noise/or loud parties in the stable areas are prohibited.
- 5.4 Other than in cases of medical emergency, cell phone use is not permitted by people mounted on horses.
- 5.5 There shall be no smoking or use of electronic cigarettes in barns, stalls, tack rooms, feed rooms, shed rows or dormitory. Those found in violation of these rules shall be subject to disciplinary action up to and including ejection from Mahoning Valley for multiple violations.
- 5.6 There shall be no tying to or hanging of anything from piping in the barn area.
- 5.7 There shall be no blocking of electrical or other control panels and a free and clear path must be maintained at all times to these panels.
- 5.8 Any items stored near baseboard heaters must be at least 12 inches away. Items may not be stored on top of baseboard heaters.

PART 6 - FANS & ELECTRICAL CORDS

- 6.1 All fans must be approved by Mahoning Valley maintenance before their installation and use.
- 6.2 All fans must be UL approved with a 3-prong style grounded cord.
- 6.3 Extension cords must be in good and safe condition with no splicing or taping allowed, must have an integrated GFI, and must be approved by Mahoning Valley maintenance.
- 6.4 Electrical fans must have a cage or screen type cover over the fan blades.
- 6.5 The use of electrical tape on outlets is strictly prohibited.
- 6.6 All fans must be securely mounted in a location approved by Mahoning Valley maintenance and out of the physical reach of all horses.
- 6.7 All fans must be kept in working order with no loose parts.
- 6.8 Any unsafe fans or cords will be collected and disposed of.

PART 7 - BARN MAINTENANCE & POLICIES

- 7.1 Tack rooms are subject to search at random or during safety and quality assurance inspections at any time by Mahoning Valley or its designated agents.

- 7.2 All straw and manure is to be placed in designated manure bins only. Do not place other trash items in manure bins.
- 7.3 **Anyone dumping manure in a manure container must push it to the back of the container and pile the manure to allow for maximum use of the container.**
- 7.4 Areas leading to and around the containers are to be cleaned and maintained by trainers and/or their employees.
- 7.5 Hay and straw debris near and around a barn must be cleaned and maintained daily by trainers and/or their employees unless it is the direct result of a delivery. In the case of a delivery, it is the responsibility of the vendor to clean prior to leaving.
- 7.6 Trash cans and dumpsters are to be used for trash from the stables only. No person shall bring any off-site trash for disposal.
- 7.7 Trainers will be held responsible for manure container, hay and straw debris, and trash violations committed by their employees.
- 7.8 Any trainer (including the trainer's employees) cited for violating the aforementioned manure, hay and straw, and trash rules will be subject to disciplinary action up to and including loss of some or all stalls and possible ejection from grounds.
- 7.9 Deliveries of hay, straw, feed, etc. shall be from 10:30 AM to Noon on live days and after normal training hours on dark days. Vendors are responsible for cleaning areas before they leave. Mahoning Valley reserves the right to bar materials it determines to be unsuitable.
- 7.10 All water faucets must be turned off after use. Hoses must be disconnected when temperature is below freezing. Hoses must have automatic shut off nozzles.
- 7.11 Electric wiring is not to be altered by any additional temporary plugs or wire.
- 7.12 There shall be no blocking or moving of fire extinguishers in the barns.
- 7.13 All doors and doorways exiting the barns are considered emergency exits and must remain clear and accessible.
- 7.14 Light bulbs of more than 100 watts are not allowed. All light bulbs must be disposed of through the track maintenance department.
- 7.15 Hot Walking Machines shall be placed on a first come, first serve basis with approval of the Racing Secretary. Daily maintenance of the walker and the walker area is the responsibility of the walker owner. Walkers not maintained in a safe manner may have such privileges revoked. For the safety of horses and riders going to the track, no walkers will be placed in the first two spots closest to the track.

- 7.16 **Exercising of horses is permitted on the racetrack ONLY.** Exercising of horses in the stable or any other open area is strictly prohibited.
- 7.17 Shed rowing (exercising a horse with a rider within the barn) is not permitted. People are strictly forbidden to be mounted on a horse that makes one full rotation of the barns while in the shed row.
- 7.18 To help Mahoning provide timely service, Horsemen needing repairs in their barn, such as broken boards or burnt-out lights, must submit a work order. Work Order's can be found and submitted at Dorm Security.
- 7.19 All individuals on Mahoning Valley grounds must cooperate at all times with Security or agents of Mahoning Valley. Any violation of the rules and regulations of the Ohio State Racing Commission, the Horseracing Integrity and Safety Authority, and/or this Guide will not be tolerated and will be reported to the Board of Stewards, HISA Officials, and/or Mahoning Valley Officials.

PART 8 - DORM ROOM POLICY

- 8.1 All dorm rooms will be assigned to trainers upon request and based on availability. The Racing Secretary shall make all decisions on dormitory allocations to trainers.
- 8.2 Trainers will be the responsible party at all times for dormitory space allotted.
- 8.3 The only locks on dorm room doors will be those issued by Mahoning Valley.
- 8.4 A \$100 deposit is required for each dormitory bed allotted. The deposit is the responsibility of the trainer. **NO DORMITORY BED SHALL BE OCCUPIED PRIOR TO RECEIPT OF DEPOSIT BY THE STALL SUPERINTENDENT, HORSEMEN'S BOOKKEEPER OR DESIGNATED MAHONING VALLEY RACING OFFICIAL.** Deposits will be refunded into respective trainer's account upon successful inspection of room and return of any keys to Stall Superintendent and/or Dorm Security. Any damages that require repairs will be paid for through the deposit.
- 8.5 Trainer will be charged \$5 per day, up to \$150 per month, by the first day of each month (for the upcoming month) for each dormitory bed assigned. Payment for a full month will be deducted on the first day of each month from the trainer's account. In the event a bed is only used for a partial month, a pro-rated refund will be provided based on Section 8.4 above.
- 8.6 Trainers will file all required paperwork and submit it to the Horsemen's Bookkeeper. Bed/Room applicants will also be required to fill out required paperwork. Dorm Security will maintain a room log.
- 8.7 If a groom is no longer employed by a trainer, it is the trainer's responsibility to retrieve the key from that person and notify the Stall Superintendent and Dorm Security that the individual is no longer employed by them. The trainer may have the ability to put another employee of theirs in the vacated bed. If a groom changes employers, the two

trainers, (current and prior) must notify the Stall Superintendent and Dorm Security of the change and may agree to let that groom stay in his/her current bed. All financial obligations (rent and deposit) will shift to the current trainer and the prior trainer will get their deposit back upon inspection of the room. A form provided by the Horsemen's Bookkeeper will be signed by both trainers and be kept on file with the Horsemen's Bookkeeper.

- 8.8 Trainers and Jockeys will not be allowed to reside in the dormitory unless granted approval by the Director of Racing for Mahoning Valley or his/her representative.
- 8.9 Dorm rooms are subject to inspection at any time by Mahoning Valley or its designated agent. Dorm rooms that are found to not be up to cleanliness standards as determined by Mahoning Valley will be notified and those residents will be given 48-hour notice of reinspection. Should the reinspection fail, disciplinary actions will be taken, up to and including discontinuance of dorm residency privileges for the resident(s) of that dorm room, loss of the \$100 deposit, and/or a fine to the responsible trainer(s).

PART 9 - BARN & HORSE INSPECTIONS

- 9.1 Mahoning Valley expects trainers allocated stalls to ensure their allocated stalls and area are kept clean, safe and in a professional manner. As needed, but no less than on a monthly basis, Mahoning Valley will conduct barn inspections to ensure trainers are complying with this requirement. Anyone found in violation of any stable area rules will be given up to one week, at the discretion of Mahoning Valley racing officials, to correct any problem that is found. Any problem not corrected in this time period may result in the loss of one or more stalls assigned to the trainer. Multiple violations or violations deemed severe by Mahoning Valley Racing Officials may result in possible loss of stalls and ejection from grounds of Mahoning Valley.
- 9.2 Upon vacating stalls and tack rooms, a \$50 per stall fee and \$100 per tack room fee will be assessed the trainer for each stall and tack room not properly cleaned. **Strictly enforced.** Prior to vacating their stalls, trainer must inform Stall Superintendent who will inspect stall(s) and tack room(s) in presence of trainer or trainer's employee and a written notice will be given to trainer or trainer's employee indicating stall(s) and tack room(s) are in acceptable condition. Violation of this section will be taken into account for future stall allotments for such trainer.
- 9.3 Unless written permission is granted by the Racing Secretary, Mahoning Valley requires the registered trainer for horses occupying stalls in the barn area to be the same person allocated the stall where that horse is stabled. Mahoning Valley will also conduct announced and unannounced tattoo checks of horses in stalls. At any point, a trainer found with a horse other than their own in any one of their stalls without prior written permission of the Racing Secretary will lose each stall where such a horse is found.

A trainer whose horse is found in a stall not allocated to them will be subject to disciplinary action, including possible loss of stalls.

- 9.4 Should a horse be transferred between two trainers having stalls, other than via a claim, a trainer transfer must be completed no later than one (1) live racing day following the transfer and submitted to the Racing Secretary, or both trainers involved will be considered out of compliance with Section 9.3 above.
- 9.5 Any trainer not making an adequate number of starts or entries at Mahoning Valley, at the sole discretion of the Racing Secretary, shall be subject to a reduction or loss of his/her allocated stalls.

PART 10 - RACING, TRAINING & SCHOOLING RULES

- 10.1 Approved helmets and vests (HISA 2293) must be worn and properly secured at all times by all people mounted on horses. **NO EXCEPTIONS.** Failure to adhere to this section may result in ejection from Mahoning Valley grounds.
- 10.2 During training hours trainers, grooms and exercise riders must correctly identify a horse on track when the request is made by the Outrider, Clocker, Identifier or Starter. Violators will be reported to the Stewards and subject to penalty by Mahoning Valley.
- 10.3 All exercise riders and jockeys must have a completed medical card secured to their vest while mounted on a horse. Blank medical cards may be obtained at the Racing Office or from the Clerk of Scales.
- 10.4 No horses are allowed on the racetrack for training until an Outrider is on duty, the ambulance and appropriate medical personnel are in proper position, the Clocker is present, and a licensed veterinarian is in the stable area.
- 10.5 The following activities are strictly prohibited during training hours:
- 10.5.1 Galloping backwards;
 - 10.5.2 Galloping on the rail;
 - 10.5.3 Backing up past the half-mile pole; and
 - 10.5.4 Galloping or jogging on the ramp or horse paths.
- 10.6 Paddock schooling may only take place during the morning training break or during the races. All trainers wishing to school a horse must notify and get permission from the Paddock Judge and/or the Racing Secretary prior to schooling.
- 10.7 No mounted horse will be allowed to be present on the racetrack without a saddle.
- 10.8 To participate in races, a Jockey must have on file with onsite medical personnel a completed medical history form, baseline Concussion test results, and proof of a physical exam given within the previous 12 months affirming the Jockey's fitness to ride. The medical history form may be obtained from the EMS office or the Clerk of Scales.

- 10.9 Mahoning Valley medical personnel utilize a concussion protocol to ensure the safety and health of all Jockey's. Jockey's participating in races at Mahoning Valley are required to follow the direction of Mahoning Valley medical personnel in accordance with the concussion protocol. Refusal to cooperate will result in the removal of the Jockey from all mounts and will lead to refusal of entry in future races at Mahoning Valley.

PART 11 - PADDOCK ACCESS

- 11.1 Mahoning Valley recognizes the paddock as a restricted working area.
- 11.2 All persons entering the paddock must be in possession of their OSRC license and may be asked to present it on request. Anyone not in possession of the proper identification items will be denied entrance.
- 11.3 When horses are in the saddling portion of the paddock, horsemen must stand either by their stall or in the center area of the paddock. Anyone not doing so will be asked to comply or be removed from the paddock.
- 11.4 Individuals under the age of eighteen (18) are not allowed in the paddock at any time.
- 11.5 Absolutely no food and drink is allowed in the paddock during racing hours. All people will be required to discard their food and drink items prior to entering the paddock.
- 11.6 Cell phone use is not permitted in the paddock.

PART 12 - DIVISION OF PURSES

- 12.1 All overnight races will be subject to the following purse distribution.

<u>Starters</u>	<u>1st</u>	<u>2nd</u>	<u>3rd</u>	<u>4th</u>	<u>5th</u>	<u>6th</u>	<u>Also</u>
12	58%	20%	10%	4%	1%	1%	1% each
11	59%	20%	10%	4%	1%	1%	1% each
10	60%	20%	10%	4%	1%	1%	1% each
9	60%	20%	10%	5%	1%	1%	1% each
8	60%	20%	10%	5%	2%	1%	1% each
7	60%	20%	10%	5%	3%	1%	1%
6	60%	20%	10%	5%	3%	2%	
5	62%	20%	10%	5%	3%		

Purse Distribution for OPEN Stakes

After 1% is paid to all starters 7th through last, the remaining balance to be distributed:

60% to the winner; 20% to second; 10% to third; 5% to fourth; 3% to fifth; 2% to sixth.

Purse Distribution for Ohio Thoroughbred Fund Stakes:

1 st	60%
2 nd	20%
3 rd	10%
4 th	5%
5 th	3%
6 th	2%

PART 13 - RACING OFFICE HOURS, CLOSING OF ENTRIES & SCRATCHES

- 13.1 **The racing office will open at 8:00am on live race days.**
- 13.2 **Race office will be CLOSED non-race days unless otherwise indicated in the condition book**
- 13.3 Unless otherwise indicated in the condition book, or on the overnight, entries close no later than 10:00 am.
- 13.4 Races may be kept open or changed after 10:00 am at the Racing Secretary's discretion.
- 13.5 Races announced as "off" by the Racing Secretary means the race will not be carded for that race day and no additional entries will be accepted for those races.
- 13.6 Scratch time and naming riders is 9:00 am the next day the Racing Office is open.

PART 14 - RACING OFFICE POLICIES

- 14.1 Any trainer wishing to scratch part of any entry must do so no later than noon on race day.
- 14.2 No horse shall be carded in two overnight races on the same day however, OTRF rules permit a horse to be carded in up to two OTRF Stakes on the same day.
- 14.3 Horses penalized in a race shall not be entitled to any allowance in that race.
- 14.4 Agents or Jockeys must declare 1st or 2nd call at the time of the draw.
- 14.5 Horses not entitled to the first weight allowance in a race shall not be entitled to any subsequent allowances.
- 14.6 Horses not incurring the first weight penalty in a race shall not be forced to incur any subsequent penalties.

- 14.7 Winners or non-winners of a stated sum means a winner or non-winner of a single race of that value to the winner.
- 14.8 Owners and trainers must claim allowances and penalties at the time of entry and are solely responsible if a horse starts with or is disqualified due to the incorrect weight.
- 14.9 Owners and trainers are solely responsible for their horse's eligibility in races where they enter.
- 14.10 Six year old Maidens will have no preference over younger horses. Maidens have least preference in winner's races.
- 14.11 Mahoning Valley reserves the right to refuse any entry for any reason.
- 14.12 Mahoning Valley will not be responsible for any injury to horses or Racing Participants at any time on any part of the premises.
- 14.13 Owners and trainers are advised that unless any delinquent accounts with the horsemen's bookkeeper are brought current, their entries will not be accepted.
- 14.14 In all Ohio accredited or preferred races, any horse entered must be accompanied by a Ohio Certificate of Registration issued by the Ohio State Racing Commission.
- 14.15 The Cornell Collar may only be worn with permission of the Stewards and must be declared before time of entry, and the use of hog rings or nose rings is prohibited.

PART 15 - PREFERENCE & PREFERENCE DATE SYSTEM

- 15.1 Preference date rules shall not supersede Ohio State Racing Commission rules or conditions of a race and condition eligibility.
- 15.2 **CONDITION ELIGIBILITY: preference to horses eligible for a specific condition (in a 2xmc race horses eligible for 2xmc are preferred over 1xmc, etc.) In Allow/Opt Clm races horses entered for the claiming price will have the same preference as horses eligible for the allowance condition provided they have at least equal allowance eligibility.**
- 15.3 A horse can have only one preference date. Preference dates are for any type or distance of race.
- 15.4 No owner may start two (2) or more horses in a race to the exclusion of another owner's single entry. Husband and wife are considered one entity.
- 15.5 Any person entering a horse is responsible for the correct preference date at time of entry.
- 15.6 In Today horses shall have no consideration.

- 15.7 A “0” Preference Date will be assigned to a horse whose digital certificate is assigned to Mahoning Valley Race Course or in the case of foal papers submitted to the racing office by 2:00pm on October 17, 2022. Thereafter, an “E” date will be assigned.
- 15.8 E-Date: This date will correspond to the day on which a horse is first entered. To qualify for an E-Date, a horse must have been excluded from a race which appears on the overnight or he must have been entered in a race that was not used or failed to fill or in the “date only” race. **Races may be called off at 10am and no more entries will be taken in off races. Make your entries early!**
- 15.9 R-Date: This date will correspond to the day of a horse’s most recent race at Mahoning Valley.
- 15.10 **Maidens will not have preference in winner’s races.**
- 15.11 **Maiden Six year olds may not exclude a younger horse.**
- 15.12 If a horse had the opportunity to run and was voluntarily scratched, an “R” date for the next racing day will be assigned.
- 15.13 A horse loses its preference date when placed on any list (Steward’s, Veterinarian, Starter or Paddock Judge). Such horse shall be assigned an “R” date when it is taken off the list.
- 15.14 If horses have the same date an “E” date is preferred over an “R” date.
- 15.15 A horse scheduled to start in a race that is cancelled, shall receive its previous preference date.
- 15.16 The preference system does not apply to stakes or handicaps. A horse excluded from such a race shall retain its previously assigned preference date. All starters in such a race shall receive an “R” date.
- 15.17 Preference dates are transferred through change of ownership only after proper transfer procedures are completed through the stewards and racing secretary.

PART 16 - WORKOUT RULE

- 16.1 OSRC Rule 3769-5-30
- 16.1.1 (A) Every commission Licensee exercising a horse shall, upon request of an official timer, correctly identify the horse he is exercising and shall state the distance over which such horse is to be worked and the point on the race track where it is intended to start the workout.
- 16.1.2 (B) Every commission Licensee exercising a horse that has not started in the last year shall report to the official timer, correctly identify the horse he is exercising and shall state the distance over which such horse is to be worked and the point on the race track where it is intended to start the workout.

- 16.1.3 (C) Any horse starting for the first time must have a minimum of three workouts, one of which must be from the starting gate.
- 16.1.4 (D) Before any horse is permitted to start, the stewards shall be satisfied that its published past performance, whether in races or workouts, shall be of sufficient number to enable the public to make a reasonable assessment of its capabilities.
- 16.1.5 (E) Any horse which has not raced for a period of sixty days must have at least one published workout prior to starting in a race, subject to the provisions in paragraph D of this rule. Any horse which has not raced for a period of ninety days must have at least two published workouts prior to starting in a race, subject to the provisions of paragraph D of this rule.
 - 16.1.5.1 One of the published work must be no later than 30 days prior to the race. It is the trainer's responsibility to make sure works are correctly recorded.
- 16.2 All first time starters MUST have three (3) published workouts including gate approval. Workouts not printed in the Daily Racing Form or Program may be announced. It is the trainer's responsibility to make sure workouts are correctly recorded. OK cards for first time starters are good for only 45 days from issue date.
- 16.3 HISA Rule 2240: Horses which have not started in more than 365 days and horses which have not made a start prior to January 1st of their 4 year old year will be placed on the Veterinarian's List and must work for and have blood drawn by a regulatory veterinarian.
- 16.4 Removal from the Veterinarian's List:
 - 16.4.1 Eligibility to be removed from the Veterinarian's List shall be determined by HISA Rule 2241 and 2242.
 - 16.4.2 Veterinarian's List removal requiring Attending Veterinarian exam:
 - 16.4.2.1 Attending Veterinarian must fully exam and certify the horse is sound and healthy.
 - 16.4.2.2 An official form provided by the Regulatory Veterinarian must be completed and signed by the examining Attending Veterinarian and the Trainer. The form must be returned to and approved by the Regulatory Veterinarian prior to release from the Veterinarian's List.
 - 16.4.2.3 The Regulatory Veterinarian may require additional medical documentation, diagnostics, or exam by the Regulatory Veterinarian prior to approval.
 - 16.4.3 Veterinarian's List removal requiring a work before the Regulatory Veterinarian:
 - 16.4.3.1 Trainer must submit a request to work a Horse for removal from the Veterinarian's List to the Regulatory Veterinarian a minimum of 48 hours in advance.

- 16.4.3.2 Upon receiving approval to work, the Trainer and the Attending Veterinarian must observe the Horse jog and submit to the Regulatory Veterinarian an official form co-signed stating that the Horse is fit to perform a workout.
- 16.4.3.3 Workouts will only be scheduled on live racing days between 9am and 9:30am.

PART 17 - SALIX (LASIX) RULES

- 17.1 Any horse that is treated with furosemide (Salix) must be treated in the barn area at Mahoning Valley no later than four (4) hours prior to post time and will only be administered by an Attending Veterinarian or those permitted under HISA and Horseracing Integrity and Welfare Unit (HIWU) rules. Salix treatment slips must be submitted before the start of each racing day to the Stable Gate.
- 17.2 No trainer may remove a horse from or add a horse to the Salix list without prior approval of the stewards.

PART 18 - PROCEDURES TO FOLLOW DURING AN EQUINE CONTAGIOUS DISEASE (i.e. EHV-1) OUTBREAK

If an equine contagious disease (i.e. EHV-1) outbreak is suspected or confirmed on Mahoning Valley grounds then the following procedures shall be followed. Additional guidance may be provided by the OSRC, Ohio Department of Agriculture (ODA), HISA, HIWU, Regulatory (Association) Veterinarian, or USDA officials as necessary.

- 18.1 It is the responsibility of the Trainer and Attending Veterinarian of the suspected or confirmed infected horse to immediately notify the Regulatory (Association) Veterinarian of a potential equine contagious disease infection. This includes horses that have recently been located at a different property that have a suspected or confirmed infection or been in contact with another horse that has a suspected or confirmed infection.
- 18.2 The Trainer and Attending Veterinarian will be required to submit all treatments, testing, and diagnostics for the infected horses to the Regulatory (Association) Veterinarian. This information shall be provided as requested to the OSRC, ODA, HISA, HIWU, and USDA.
- 18.3 The Trainer shall cooperate fully with the Regulatory (Association) Veterinarian to perform contact tracing to minimize the risk of disease spread.
- 18.4 To ensure rapid implementation of protocol, the Regulatory (Association) Veterinarian shall immediately contact Mahoning Valley Security, Stall Superintendent, and Director of Racing to set in motion the next steps of the protocol. Further communication shall be made to all relevant regulatory agencies including, but not limited to the ODA, OSRC, and HISA.
- 18.5 The affected barn(s) shall be immediately quarantined.

- 18.6 The number of employees in the affected barn(s) shall be limited to only those needed to clean stalls and tend to horses.
- 18.7 A log of all individuals that enter and leave the affected barn(s) shall be maintained.
- 18.8 No one will be permitted in affected barn(s) without proper protective clothing, including shoe covers. Protective clothing must be put on when entering the affected barn(s) and removed immediately upon exiting.
- 18.9 Upon exiting affected barn(s), shoes/boots shall be rinsed with hot water and disinfected.
- 18.10 When work is complete in affected barn(s), individuals shall immediately go to an area where they can shower and change clothes. The contaminated clothes must be laundered and kept separate from other clothing and horse equipment.
- 18.11 Keep all affected horses and equipment separate. Disinfect all equipment between uses.
- 18.12 No one is permitted to go into other barns, tack rooms, dorm rooms, shed rows, feed rooms, front side, or any other area of Mahoning Valley grounds without first showering and changing clothing.
- 18.13 Attend to all other barns before entering affected barn(s).
- 18.14 All manure must be put into separate, specifically marked bins for removal.
- 18.15 Maintain a temperature log for all horses.
- 18.16 At the discretion of Mahoning Valley racing officials and in consideration of any recommendations from the OSRC, ODA, HISA, HIWU, Regulatory (Association) Veterinarian, or USDA officials, horses stabled in affected barn(s) may be allowed to train during a special training session.

PART 19 - SHOE POLICY

- 19.1 HISA Rule 2276: Except for full rims 2 millimeters or less from the ground surface of the horseshoe, traction devices are prohibited on forelimb horseshoes during racing and training on dirt or synthetic racing tracks. Traction devices include, but are not limited to rims, toe grabs, bends, jar calks and stickers.
 - 19.1.1 (Updated per HISA Announcement dated July 29, 2022): Rule 2276 shall not be enforced for horses racing on dirt surfaces that are shod on the hindlimbs with traction devices in the form of either a full outer rim shoe (up to 4 millimeters in height) or a toe grab (up to 4 millimeters in height).
- 19.2 OSRC Rule 3769-4-49: (1) no horse shall train or race without shoes (racing plates) on all four hooves;

19.3 Any horse arriving to the paddock to race must be properly shod. Failure to do so may result in scratching of horse and owner and/or trainer subject to penalty.

Horsemen are reminded that they are subject to all parts of the Racing Guide which may be amended from time to time. The Racing Guide shall be posted at www.hollywoodmahoningvalley.com.

PART 20 - 2023 – 2024 Live Racing Calendar

12:00 PM Post

12:45 PM Post

12:55 PM Post

October						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				
Days: 7						

November						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		
Days: 17						

December						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						
Days: 16						

January						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			
Days: 19						

February						
S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29		
Days: 17						

March						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						
Days: 16						

April						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				
Days: 9						

Live Racing Days of Interest	
October 21	Opening Day
October 28	Best of Ohio
November 20	Steel Valley Sprint
November 20	Cheryl White Memorial
January 1	New Year's Day
April 13	Closing Day